

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: ) Art Unit: 3627  
Dillon ) Examiner: Unknown  
 ) 16698.11.18

Serial No: 10/578,037

Filed: May 2, 2006

For: AUTHENTICATION AND  
TRACKING SYSTEM

To: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

COMMUNICATION

This communication is being transmitted to clarify an inadvertent typographical error on the undersigned's Supplemental Information Disclosure Statement filed 12/21/2006. Enclosed is a corrected Form 1449, wherein the application number and filing date have been revised to reflect the instant case. No additional copies of the cited references are enclosed herewith.

Respectfully submitted,

Dated: January 4, 2007

/John S. Parzych/

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(612) 492-7279

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Not for submission under 37 CFR 1.99)

|                        |                 |
|------------------------|-----------------|
| Application Number     | 10578037        |
| Filing Date            | 2006-05-02      |
| First Named Inventor   | David G. Dillon |
| Art Unit               | 3627            |
| Examiner Name          | Unknown         |
| Attorney Docket Number | 16698.11.18     |

**U.S. PATENTS**

| Examiner Initial* | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|---------------|------------------------|------------|---|--|
|                   | 1       |               |                        |            |   |  |

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**U.S. PATENT APPLICATION PUBLICATIONS**

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**FOREIGN PATENT DOCUMENTS**

| Examiner Initial* | Cite No | Foreign Document Number <sup>3</sup> | Country Code <sup>2</sup> j | Kind Code <sup>4</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T <sup>5</sup>           |
|-------------------|---------|--------------------------------------|-----------------------------|------------------------|------------------|---|--|--------------------------|
|                   | 1       | WO/0195249                           | GB                          |                        | 2001-12-13       | OP-TAGLIO LIMITED                               |  | <input type="checkbox"/> |

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**NON-PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>5</sup> |
|--------------------|---------|--|----------------|
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|   |  |                          |
|---|--|--------------------------|
| 1 | World Health Organization. Substandard and counterfeit medicines. [retrieved on 2006-12-15] retrieved from the internet <a href="http://www.who.int/mediacentre/factsheets/fs275/en/print.html">http://www.who.int/mediacentre/factsheets/fs275/en/print.html</a>  | <input type="checkbox"/> |
| 2 | HOPKINS, DM, KONTEK, LT, and TURNAGE, MT. Counterfeiting Exposed; Protecting Your Brand and Customers, Chapter 12, "Anticounterfeiting Technology Solutions," pp 249-262   | <input type="checkbox"/> |
| 3 | JOHNSTON, RG. Tamper detection for safeguards and treaty monitoring: fantasies, realities, and potentials. Nonproliferation Review 2001 Spring; 8 (1); 102-115   | <input type="checkbox"/> |
| 4 | JOHNSTON, RG and GARCIA, ARE. An annotated taxonomy of tag and seal vulnerabilities. Journal of Nuclear Materials Management 2000 Spring; 229 (3); 23-30   | <input type="checkbox"/> |
| 5 | JOHNSTON, RG. Tamper-indicating seals for nuclear disarmament and hazardous waste management. Science and Global Security 2001; 9 (2); 93-112  | <input type="checkbox"/> |
| 6 | JOHNSTON, RG, GARCIA, ARE, and PACHECO, AN. Efficacy of tamper-indicating devices. Journal of Homeland Security 2002, April 16   | <input type="checkbox"/> |
| 7 | JOHNSTON, RG and GARCIA, ARE. Analyzing vulnerability results for tag and tamper-indicating seals. Proceedings of the U.S. Army Conference on Applied Statistics; 2001 October 24-26; Santa Fe, NM. pp. 60-88  | <input type="checkbox"/> |
| 8 | KARSTEN, R. Tags tag counterfeits: as counterfeiting methods become increasingly sophisticated, more advanced technologies are needed to fight back. Manufacturing Chemist 2003 January 1; 73 (1) [retrieved 2006-11-30] retrieved from the internet <a href="http://www.manufacturing-chemist.info/story.asp?storyCode=15146">http://www.manufacturing-chemist.info/story.asp?storyCode=15146</a> | <input type="checkbox"/> |
| 9 | KONTEK, L. Counterfeits: the cost of combat. Pharmaceutical Executive 2003 November 1 [retrieved 2006-12-15] retrieved from the internet <a href="http://www.pharmexec.com/pharmexec/content/printContentPopup.jsp?id=75674">http://www.pharmexec.com/pharmexec/content/printContentPopup.jsp?id=75674</a>   | <input type="checkbox"/> |

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**EXAMINER SIGNATURE**

|                    |               |                 |            |
|--------------------|---------------|-----------------|------------|
| Examiner Signature | /Jamie Kucab/ | Date Considered | 04/26/2010 |
|--------------------|---------------|-----------------|------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

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## **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

## **SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

|            |                   |                     |            |
|------------|-------------------|---------------------|------------|
| Signature  | /John S. Parzych/ | Date (YYYY-MM-DD)   | 2007-01-04 |
| Name/Print | John S. Parzych   | Registration Number | 52097      |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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